IAP12 Rec'd PCT/PTO 16 APR 2007 \$

Attorney Docket No.: 1009-016



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Petrus Theodorus Jutte and Peter Coops

Application No.:

10/574,367

Title:

READING/WRITING OPTICAL DEVICE HAVING TEMPERATURE

COMPENSATION

Filed:

April 3, 2006

Examiner:

Unassigned Unassigned

Art Unit:

Onassig

Conf. No:

2282

Former Attorney Docket Number: PHNL031213 US

New Attorney Docket Number: 1009-016

Certificate of Mailing Under 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on:

Date: <u>April 11, 2007</u>

By: <u>Tracy A. Bessette</u>

(Typed or printed name of person mailing Document, whose signature appears below)

Signature:

. .

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Enclosed herewith are the following:

-2-

Transmittal Letter (this form, 2 Pages, in duplicate), Total Pages: 4; [x]

Copy of Notification of Missing Requirements under 35 U.S.C. §371 in the United [x] States Designated/Elected Office (DO/EO/US); Total Pages: 2;

- Response to Notification of Missing Requirements under 35 U.S.C. §371 in the [x] United States Designated/Elected Office (DO/EO/US); Total Pages: 2;
- Copy of Executed Oath/Declaration/Power Of Attorney from [X] PCT/IB2004/052013, Total Pages: 3;
- Power of Attorney to Prosecute Applications Before the USPTO, Total Pages: 1;
- Statement Under 37 C.F.R. 3.73(b), Total Pages: 1; [X]
- Application Data Sheet, Total Pages: 3; [x]
- Return Receipt Pre-paid Postcard (in duplicate), Total Postcards: 2; [x]
- Authorization to charge Deposit Account No. 50-3661, if required; [x]
- Check in the amount of: \$130.00, with fee amounts calculated as follows: [x]

CLAIMS	Number Filed	Number Extra	Rate	Calculations
Total Claims	16-20 =	0	x \$50.00	\$0.00
Independent Claims	3-3 =	0	x \$200.00	\$ <u>0</u> .00
Surcharge for Late Oath or Declaration =				\$130.00
TOTAL FEES =				\$130.00

Please update all Patent Office records to reflect that the new Attorney Docket Number for this application is: 1009-016.

Please direct all telephone and facsimile or other correspondence to:

David E. Huang, Esq.

USPTO Registration No. 39,229

Bainwood, Huang & Associates, LLC

Highpoint Center

04/20/2007 GFREY1 00000001 10574367

2 Connector Road

01 FC:1617

130.00 OP

Westborough, Massachusetts 01581,

United States of America Telephone: (508) 616-2900 Facsimile: (508) 366-4688

Customer No.: 47654

U.S. Application No.: <u>10/574,367</u> Attorney Docket No.: <u>1009-016</u>

- 3 -

Applicant hereby petitions for any extension of time which is required to maintain the pendency of this case. If there is a fee occasioned by this response, including an extension fee, that is not covered by an enclosed check, please charge any deficiency to Deposit Account No. 50-3661.

If the enclosed papers or fees are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (508) 616-2900, in Westborough, Massachusetts.

Respectfully submitted,

James F. Thompson, Esq.

Attorney for Applicant

USP1O Registration No.: 36,699 Bainwood, Huang & Associates, L.L.C.

Highpoint Center 2 Connector Road

Westborough, Massachusetts 01581

Telephone: (508) 616-2900 Facsimile: (508) 366-4688

Attorney Docket No.: 1009-016

Dated: ____April 11, 2007



NITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/574,367 NL031213

Petrus Theodorus Jutte

INTERNATIONAL APPLICATION NO.

PCT/IB04/52013

24737 PHILIPS INTELLECTUAL PROPERTY & STANDARDS P.O. BOX 3001 **BRIARCLIFF MANOR, NY 10510**

I.A. FILING DATE PRIORITY DATE 10/07/2004 10/15/2003

CONFIRMATION NO. 2282 371 FORMALITIES LETTER

OC000000022610507

05 MAART 2007

Date Mailed: 02/26/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

 Copy of the International Application filed on 03/30/2006 Copy of the International Search Report filed on 03/30/2006 Preliminary Amendments filed on 03/30/2006 U.S. Basic National Fees filed on 03/30/2006 Assignment filed on 03/30/2006 Priority Documents filed on 03/30/2006 Power of Attorney filed on 03/30/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Surcharge.



ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

VIRGINIA L IRBY

Telephone: (703) 308-9140 EXT 229

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/574,367	PCT/IB04/52013	NL031213

FORM PCT/DO/EO/905 (371 Formalities Notice)



Attorney Docket No.: 1009-016

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Petrus Theodorus Jutte

Application No.:

10/574,367

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READING/WRITING OPTICAL DEVICE HAVING

TEMPERATURE COMPENSATION

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April 3, 2006

Examiner: Art Unit:

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Date: ____April 11, 2007

By: <u>Tracy A. Bessette</u>

(Typed or printed name of person mailing Document, whose signature appears below)

Signature:

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. §371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DE/EO/US)

Sir:

In response to the Notification of Missing Requirements under 35 U.S.C. §371 in the United States Designated/Elected Office (DO/EO/US) dated February 26, 2007 in connection with the above-identified Application, Applicants enclose an executed Declaration/Power of Attorney and a surcharge under 37 C.F.R.

1.16(f) and filing fees. Also enclosed is the Assignment executed by the inventors in the above-identified patent application.

If the enclosed fee is insufficient or if there is an overpayment of the enclosed fees, the balance and/or credit may be charged and/or credited to the account of the undersigned, Deposit Account No. <u>50-3661</u>.

If the enclosed papers or fees are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (508) 616-2900, in Westborough, Massachusetts.

Respectfully submitted,

James F. Thompson, Esq.

Attorney for Applicant

USPTO Registration No.: 36,699 Bainwood, Huang & Associates, L.L.C.

Highpoint Center 2 Connector Road

Westborough, Massachusetts 01581

Telephone: (508) 616-2900 Facsimile: (508) 366-4688

Attorney Docket No.: 1009-016

Dated: ____April 11, 2007